



## IN THE UNITED STATES OF AMERICA PATENT AND TRADEMARK OFFICE

APPLICANT: Chee Hung Ben Choi

**SERIAL NO.:** 10/005,026 **FILED:** December 4, 2001

TITLE: Method & Apparatus for Individualizing and Updating a Directory of Computer Files

**ATTORNEY NO.:** 16675/94636-00 **ART UNIT:** 2151

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements

2. FORM PTO -1449 (Modified)

6. Copies Of Listed Information Items Accompanying This Statement

9. Concise Explanation Of English Language Listed Information Items (Optional)

10. Identification Of Person(s) Making This Information Disclosure Statement

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#### Section 1. Preliminary Statements

Applicant submits herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

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Copies of cited references listed are attached. Patents listed are published U.S. patents; therefore, copies are not required.

Section 9. Concise Explanation Of English Language Listed Information Items (Optional)

## Section 10. Identification of Person(s) Making THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Reg. No.: 38,882

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JONES, WALKER, WAECHTER, POITEVENT,

CARRÈRE & DENÈGRE, L.L.P. 4<sup>TH</sup> FLOOR, FOUR UNITED PLAZA 8555 UNITED PLAZA BOULEVARD BATON ROUGE, LOUISIANA 70809

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PTO/SB/08a (06-03) Approved for use through 07/31/2003. OMB 0651-0031

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Substitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 10/005,026 December 4, 2001 Filing Date First Named Inventor Chee Hung Ben Choi Art Unit **Examiner Name** 

(Use as many sheets as necessary) Attorney Docket Number | 16675/94636-00

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	U. S. PATENT  Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	P:ges, Columns, Lines, Where Rolevant Passages or Relevant Figures Appear		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> 'Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т <sup>6</sup>
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Examiner	Date	
Signature	Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the application formation is required to obtain or retain a benefit by the public which is to file (and by the USPTO in the unit of the complete the formation of the use of the complete the formation of the use of the country of the complete the formation of the use of the country of the on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# IN THE UNITED STATES OF AMERICA PATENT AND TRADEMARK OFFICE

APPLICANT: Chee Hung Ben Choi

**SERIAL NO.:** 10/005,026

FILED: December 4, 2001

TITLE: Method & Apparatus for Individualizing and Updating a Directory of Computer Files

**ATTORNEY NO.:** 16675/94636-00

Date of Deposit: January 21, 2004

**ART UNIT: 2151** 

#### **CERTIFICATE OF MAILING**

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I hereby certify that the following attached paper or fee:

Technology Center 2100

- Information Disclosure Statement (2 pgs.);

- Form 1449 (2 pgs.);

- Copies of Cited References - 7 Documents; and

- Stamped, return postcard;

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2

	Complete if Known	
Application Number	10/005,026	
Filing Date	December 4, 2001	
First Named Inventor	Chee Hung Ben Choi	
Art Unit		
Examiner Name		
Attorney Docket Number	16675/94636-00	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Apte, C., F. Damerau & S.M. Weiss (1994), "Automated Learning of Decision Rules for Text Categorization", ACM Trans. on Information Systems, 12(3), pp. 223-251	
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		Joachims, T. (1997), "A Probabilistic Analysis of the Rocchio Algorithm with TFIDF for Text Categorization," Proc. of the 14th International Conference on Machine Learning, pp. 143-151	
		Massand, B., G. Linoff & D. Waltz (1992), "Classifying New Stories Using Memory Based Reasoning", Proceedings SIGIR '92, pp. 59-65	
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		Passani, M. & D. Billsus (1997), "Learning and Revising User Profiles: The Identification of Interesting Web Sites", Machine Learning Journal, 23, pp. 313-331	
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